

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0034

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission
(excluding references)

9

Application Number

10/630,250

Filing Date

July 30, 2003

First Named Inventor

Stephen D. Pacetti

Group Art Unit

1773

Examiner Name

Z. Ramsey

Attorney Docket Number

50623.267

ENCLOSURES (check all that apply)

☒ Deposit Account Authorization
07-1850

☒ Postage Paid Return Postcard

☒ Fee Transmittal (in duplicate)

☐ After Final

☐ Affidavits/declaration(s)

☐ Petition for Extension of Time (____
month) (in duplicate)

☐ Amendment Transmittal Letter (in
duplicate)

☒ Information Disclosure Statement
(in duplicate) with Form PTO-1449
(1 pg.) citing 28 References

☐ Request for Continued Examination
(RCE) Transmittal

☐ Express Mail Label No.

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Formal Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Submission of Formal Drawings (in
duplicate)

☒ Other Enclosure(s)
(please identify below):

23 References

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or

Individual name

Squire, Sanders & Dempsey L.L.P.
Zhaoyang Li, Ph.D., Reg. No. 46,872

Signature

Date

August 8, 2005

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: August 8, 2005

Typed or printed name

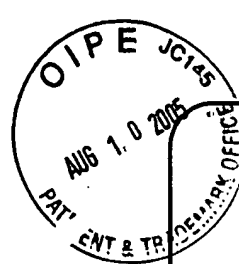
Vera Breder

Signature

Date

August 8, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/630,250
Filing Date	July 30, 2003
First Named Inventor	Stephen D. Pacetti
Examiner Name	Z. Ramsey
Art Unit	1773
Attorney Docket No.	50623.267

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order☒ Deposit Account:Deposit
Account
Number

07-1850

Deposit
Account
Name

Squire, Sanders & Dempsey L.L.P.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
		0		0	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) .00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	205	Extension for reply within second month	
1253	950	2253	465	Extension for reply within third month	
1254	1,480	2254	725	Extension for reply within fourth month	
1255	2,010	2255	985	Extension for reply within fifth month	
1401	330	2401	160	Notice of Appeal	
1402	330	2402	160	Filing a brief in support of an appeal	
1403	290	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 180.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Zhaoyang Li, Ph.D.	Registration No. Attorney/Agent)	46,872	Telephone	(415) 954-0200
Signature				Date	August 8, 2005

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Z. Ramsey

Stephen D. Pacetti et al.

Serial No. 10/630,250

Art Unit: 1773

Filed: July 30, 2003

Title: HYDROPHOBIC BIOLOGICALLY ABSORBABLE COATINGS FOR
DRUG DELIVERY DEVICES AND METHODS FOR FABRICATING
THE SAME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. Copies of the cited patents have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

08/11/2005 EFLORES 00000057 071850 10630250

01 FC:1806 180.00 DA

The present Supplemental Information Disclosure Statement is being submitted after receiving an Office Action and after three months of the filing date of the above-identified application. Therefore, the fee of \$180.00 as specified in 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) is authorized to be charged to Deposit Account No. 07-1850.

Date: August 8, 2005

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0200
Facsimile (415) 393-9887

Respectfully submitted,



Zhaoyang Li, Ph.D.
Attorney for Applicants
Reg. No. 46,872

FORM PTO-1449 (Modified) US Patent and Trademark Office INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)		US DEPARTMENT OF COMMERCE Docket No. <div style="text-align: center;">50623.267</div>		Application No. <div style="text-align: center;">10/630,250</div>	
		Applicant <div style="text-align: center;">Pacetti et al.</div>			
		Filing Date <div style="text-align: center;">July 30, 2003</div>		Group Art Unit <div style="text-align: center;">1773</div>	

U.S. PATENT DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	4,304,767	12/8/81	Heller et al.			
	A2	5,581,387	12/3/96	Cahill			
	A3	5,861,387	1/19/99	Labrie et al.			
	A4	6,503,538	1/7/03	Chu et al.			
	A5	6,703,040	3/9/04	Katsarava et al.			
	A6	10/630,250		Pacetti et al.			7/30/02
	A7	10/718,278		Hossainy et al.			11/19/03
	A8	10/719,516		Tang et al.			11/21/03
	A9	10/738,704		Pacetti et al.			12/16/03
	A10	10/741,214		Pacetti et al.			12/19/03
	A11	10/750,139		Desnoyer et al.			12/30/03
	A12	10/805,036		Pacetti			3/16/04
	A13	10/816,072		Dugan et al.			3/31/04
	A14	10/835,656		Tang et al.			4/30/04
	A15	10/855,294		Pacetti et al.			5/26/04
	A16	10/960,381		Desnoyer et al.			10/6/04
	A17	10/975,247		Desnoyer et al.			10/27/04
	A18	10/976,551		Desnoyer et al.			10/29/04
	A19	10/999,391		Hossainy			11/29/04
	A20	11/023,837		Hossainy			12/27/04
	A21	11/027,955		Hossainy et al.			12/30/04
	A22	11/035,816		Hossainy et al.			1/14/05

U.S. PATENT APPLICATION PUBLICATION DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A23						

FOREIGN PATENT DOCUMENTS							
Examiner	Ref. No.	Document	Date of	Country	Class	Subclass	Translation

Initial	Number	Publication				Yes	No
B1							
B2							
-OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
C1		Chandrasekar et al., <i>Coronary Artery Endothelial Protection After Local Delivery of 17β-Estradiol During Balloon Angioplasty in a Porcine Model: A Potential New Pharmacologic Approach to Improve Endothelial Function</i> , J. of Am. College of Cardiology, vol. 38, no. 5, (2001) pp. 1570-1576.					
C2		De Lezo et al., <i>Intracoronary Ultrasound Assessment of Directional Coronary Atherectomy: Immediate and Follow-Up Findings</i> , JACC vol. 21, no. 2, (1993) pp. 298-307.					
C3		Moreno et al., <i>Macrophage Infiltration Predicts Restenosis After Coronary Intervention in Patients with Unstable Angina</i> , Circulation, vol. 94, no. 12, (1996) pp. 3098-3102.					
C4		Oikawa et al., <i>Mechanisms of Acute Gain and Late Lumen Loss After Atherectomy in Different Preintervention Arterial Remodeling Patterns</i> , The Am. J. of Cardiology, vol. 89, (2002) pp. 505-510.					
C5		Scully et al., <i>Effect of a heparan sulphate with high affinity for antithrombin III upon inactivation of thrombin and coagulation Factor Xa</i> , Biochem J. 262, (1989) pp. 651-658.					
C6		Virmani et al., <i>Lessons From Sudden Coronary Death a Comprehensive Morphological Classification Scheme for Atherosclerotic Lesions</i> , Arterioscler Thromb Vasc Biol. (2000) pp. 1262-1275.					
EXAMINER			DATE CONSIDERED				
EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							